

10/31/00



US PTO

11-02-00

A

Please type a plus sign (+) inside this box [ + ]

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 005220.P001Total Pages 5First Named Inventor or Application Identifier David D. Faraldo IIExpress Mail Label No. EL143569256US

**ADDRESS TO:** Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D. C. 20231

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  X  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  X  Specification (Total Pages  35 )  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3.  X  Drawings(s) (35 USC 113) (Total Sheets  10 )
4.  X  Oath or Declaration (Total Pages  5 )
  - a.  X  Newly Executed (Original or Copy)
  - b.      Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
  - i.       DELETIONS OF INVENTOR(S)  Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.      Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.      Microfiche Computer Program (Appendix)

0015/01

 10/31/00  
 09/703437  
 US PTO

8.	<u>      </u>	Assignment Papers (cover sheet & documents(s))
9.	<u>      </u>	a. 37 CFR 3.73(b) Statement (where there is an assignee)
	<u>      </u>	b. Power of Attorney
10.	<u>      </u>	English Translation Document (if applicable)
11.	<u>  X  </u>	a. Information Disclosure Statement (IDS)/PTO-1449
	<u>  X  </u>	b. Copies of IDS Citations
12.	<u>      </u>	Preliminary Amendment
13.	<u>  X  </u>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14.	<u>      </u>	a. Small Entity Statement(s)
		b. Statement filed in prior application, Status still proper and desired
15.	<u>      </u>	Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.	<u>  X  </u>	Other: a copy of the postcard with Certificate of Express Mailing.

- 18.
- Correspondence Address**

<b>X</b>	Correspondence Address Below
----------	------------------------------

ADDRESS 12400 Wilshire Boulevard  
Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8598 FAX (408) 720-9397

**FEE TRANSMITTAL FOR FY 2001****TOTAL AMOUNT OF PAYMENT (\$)** 1,168.00**Complete if Known:****Application No.** To be assigned**Filing Date** Herewith**First Named Inventor** David D. Faraldo II**Group Art Unit** To be assigned**Examiner Name** To be assigned**Attorney Docket No.** 005220.P001**METHOD OF PAYMENT (check one)**

1. ☒ **The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:**

**Deposit Account Number** 02-2666**Deposit Account Name** \_\_\_\_\_

- ☐ **Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17**

2. ☒ **Payment Enclosed:**

☒ **Check**☐ **Money Order**☐ **Other****FEE CALCULATION****1. BASIC FILING FEE**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Code</u>	<u>Fee (\$)</u>	<u>Code</u>	<u>Fee (\$)</u>		
101	710	201	355	Utility application filing fee	<u>710.00</u>
106	320	206	160	Design application filing fee	_____
107	490	207	245	Plant filing fee	_____
108	710	208	355	Reissue filing fee	_____
114	150	214	75	Provisional application filing fee	_____
<b>SUBTOTAL (1)</b>					<b>\$ <u>710.00</u></b>

**2. EXTRA CLAIM FEES**

			<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
<b>Total Claims</b>	<u>41</u>	- 20** =	<u>21</u>	X <u>18.00</u>	= <u>378.00</u>
<b>Independent Claims</b>	<u>4</u>	- 3** =	<u>1</u>	X <u>80.00</u>	= <u>80.00</u>
<b>Multiple Dependent</b>					= _____

**\*\*Or number previously paid, if greater; For Reissues, see below.**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	
<u>Code</u>	<u>Fee (\$)</u>	<u>Code</u>	<u>Fee (\$)</u>		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>\$ <u>458.00</u></b>

**FEE CALCULATION (continued)**
**3. ADDITIONAL FEES**

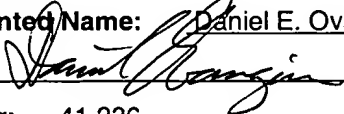
<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	_____
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within first month	_____
116	390	216	195	Extension for response within second month	_____
117	890	217	445	Extension for response within third month	_____
118	1,390	218	695	Extension for response within fourth month	_____
128	1,890	228	945	Extension for response within fifth month	_____
119	310	219	155	Notice of Appeal	_____
120	310	220	155	Filing a brief in support of an appeal	_____
121	270	221	135	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application	_____
141	1,240	241	620	Petition to revive unintentionally abandoned application	_____
142	1,240	242	620	Utility issue fee (or reissue)	_____
143	440	243	220	Design issue fee	_____
144	600	244	300	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	240	126	240	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	_____
179	710	279	355	Request for Continued Examination (RCE)	_____
169	900	169	900	Request for expedited examination of a design application	_____
Other fee (specify) _____					_____
Other fee (specify) _____					_____

**SUBTOTAL (3) \$ 0**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Daniel E. Ovanezian

Signature:  Date: 10/31/00

Reg. Number: 41,236 Telephone Number: 408-720-8300

## EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL143569256US

Date of Deposit: OCTOBER 31, 2000

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Conny Willesen

(Typed or printed name of person mailing paper or fee)

Conny Willesen  
(Signature of person mailing paper or fee)

10-31-2000  
(Date signed)

Serial/Patent No.: <u>To be assigned</u>	Filing/Issue Date: <u>***</u>
Client: <u>NOCpulse</u>	
Title: <u>Method OF And Apparatus For Remote Monitoring</u>	
BSIZ File No.: <u>005220.P001</u>	Atty/Secty Initials: <u>DEO/cvw</u>
Date Mailed: <u>10-31-00</u>	Docket Due Date: <u>***</u>

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

<input type="checkbox"/> Amendment/Response (____ pgs.) <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) <input checked="" type="checkbox"/> Application - Utility ( <u>35</u> pgs., with cover and abstract) <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.) <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.) <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.) <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.) <input type="checkbox"/> Application - Design (____ pgs.) <input type="checkbox"/> Application - PCT (____ pgs.) <input type="checkbox"/> Application - Provisional (____ pgs.) <input type="checkbox"/> Assignment and Cover Sheet <input checked="" type="checkbox"/> Certificate of Mailing <input type="checkbox"/> Declaration & POA ( <u>5</u> pgs.) <input type="checkbox"/> Disclosure Doc & Orig & Copy of Invention Signed Letter (____ pgs.) <input checked="" type="checkbox"/> Drawings: <u>10</u> # of sheets includes <u>10</u> figures	<input checked="" type="checkbox"/> Express Mail No. <u>EL143569256</u> <input checked="" type="checkbox"/> Check No. <u>38646</u> <input type="checkbox"/> _____ Month(s) Extension of Time <u>US</u> Amt. <u>\$1,168.00</u> <input checked="" type="checkbox"/> Information Disclosure Statement & PTO-1449 ( <u>3</u> pgs.) <input type="checkbox"/> Check No. _____ <input type="checkbox"/> Issue Fee Transmittal Amt. _____ <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Petition for Extension of Time <input type="checkbox"/> Petition for _____ <input checked="" type="checkbox"/> Postcard <input type="checkbox"/> Power of Attorney (____ pgs.) <input type="checkbox"/> Preliminary Amendment (____ pgs.) <input type="checkbox"/> Reply Brief (____ pgs.) <input type="checkbox"/> Response to Notice of Missing Parts <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business <input checked="" type="checkbox"/> Transmittal Letter, in duplicate <input type="checkbox"/> Fee Transmittal, in duplicate
---	---

☒ Other: a copy of this postcard with Certificate of Express Mail, a duplicate Information Disclosure Statement, and copies of cited art (Total=6).